

Neural
Networks and
Handwriting
Recognition

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Neural Networks and Handwriting Recognition

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26 April 2007

Presentation Outline

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Artificial Intelligence

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Outlook

- Today's computers can perform many computations much, much faster than a human being can.

Example

- Integrate

$$\int_0^1 \sqrt{1-x^2} dx$$

- My laptop: 0.3867... seconds.
- Me: ~ 1.3 minutes

Artificial Intelligence, Contd.

- There are many areas where computers fall short, however.

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Outlook

- There are many areas where computers fall short, however.

Example

- Find the swingset:



- My 2 year old neighbor: ~ 1.2 seconds
- A computer: ???

Artificial Intelligence, Contd.

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Outlook

- Artificial Intelligence is the field of mathematics and computer science that tries to give computers human-like cognitive abilities.
- **Neural Networks** are an important way to do this.

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Outlook

- Neural networks - teaching a computer to do pattern recognition like a human brain!

Biological Neural Networks versus Artificial Neural Networks

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Outlook

- Lots of parallels between artificial and biological neural networks.
 - Both biological and artificial neural networks use neurons.

Biological Neural Networks versus Artificial Neural Networks

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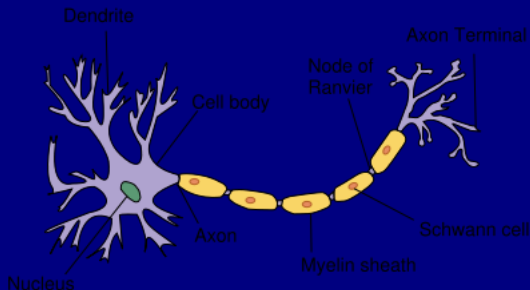
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Outlook



- **Biological neurons:**

- Accepts signal from Dentries.
- Upon accepting a signal, that neuron may fire
- If it fires, a signal is transmitted over the neuron's axon, leaving the neuron over the axon terminals
- This signal is then transmitted to other neurons or nerves

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Outlook

- **Artificial neurons:** Artificial neurons are based on digital systems (computers) rather than analogue systems (dentries),
 - Receives a number of inputs (from other neurons or the program itself)
 - Each input has a weight
 - Each neuron has a activation threshold

Solving Problems with Neural Networks

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Outlook

Problems not suited to neural networks

- Deterministic problems
- Programs that can be written with a flowchart
- Where the logic of the program is likely to change
- Where you must know how the solution was derived

Solving Problems with Neural Networks

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Problems suited to neural networks

- Problems that can't be solved as a series of steps
- Pattern recognition
- Classification

The Neuron

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Outlook

The basic building block of the neural network.

- Individual neurons are connected to one another
- Each connection is assigned a weight.
- These connection weights determine the output of the neural network

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Outlook

Input/Output

- Receives input from other neurons or the user's program
- Sends output to other neurons or the user's program

A neuron "fires" or "activates" when the sum of its inputs

$$f(x) = K \left(\sum_i w_i g_i(x) \right)$$

is high enough. We may use one of many activation functions, like



$$y = 1$$

- Tanh:

$$\tanh(u) = \frac{e^u - e^{-u}}{e^u + e^{-u}}$$

- Sigmoid:

$$y = \frac{1}{1 + e^{-x}}$$

Neural Network Structure

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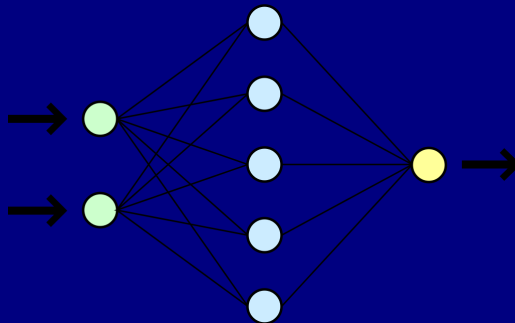
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Outlook



Split into two parts, neurons and layers

- Neurons - basic element. Interconnected, with each connection having a weight.
- Layers - groups of neurons.

Neuron Connection Weights

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Outlook

- Neurons are connected together by weighted connections
- These weights allow the neural network to recognize patterns
 - If you adjust the weights, the neural network will recognize a different pattern.
- **Training** a neural network is merely adjusting the weights between the neurons until we get the desired output

Layers of Neurons

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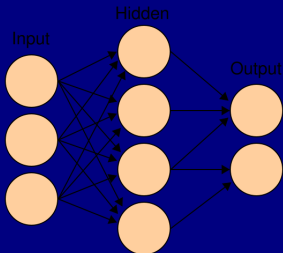
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Outlook

- Neurons are commonly grouped in layers
- Layers - groups of neurons that perform similar functions
- Three types
 - 1 Input layer - receives input from the user
 - 2 Output layer - sends data to user
 - 3 Hidden layer - neurons connected only to other neurons



Training

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Outlook

- Remember: neurons are connected via weighted connections, these weights determine the output of the network
- Training methodology:
 - 1 Assign random numbers to weights
 - 2 Determine validity of neural network (see next slides)
 - 3 Adjust weights according to validation results

Training Methods

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Outlook

- **Supervised Training**
 - Most common form of neural network training
 - Give the neural net a set of sample data with anticipated outputs for each sample.
 - Progresses through several iterations (*epochs*) until the actual neural network matches the anticipated output within error.
- **Unsupervised Training**
 - Give the neural network a set of sample data without anticipated outputs
 - Used when the neural network needs to classify the inputs into several groups
- **Hybrid Approaches**

Validation

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Outlook

- We must check that training has gone correctly!
- We determine whether we need further training
- Validation data is separate from training data
 - 1 Use half the data to train the network
 - 2 Use other half to make sure neural network's weights correspond to correct solutions.

Feed-Forward Networks

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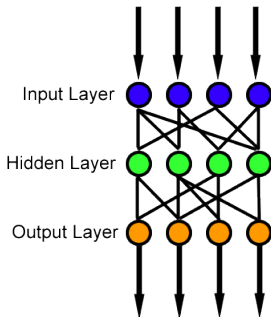
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Outlook



- A **feed-forward** neural network is one where connections between neurons *do not* form a directed cycle.

A feed-forward neural network.

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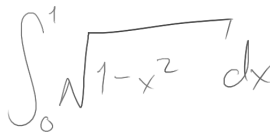
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Outlook

Computers are now very good
at recognizing printed text like
this:

ABCDEFGHIJKLMNOP
QRSTUVWXYZÀÁÊËÏÕÖÜ
abcdefghijklmnop
qrstuvwxyzàáêëïõöü&
1234567890(£\$.?!?)

But very bad at recognizing
text like this:



A handwritten mathematical expression, specifically an integral, written in a cursive style. The expression is $\int_0^1 \sqrt{1-x^2} dx$.

Problem Motivation

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Outlook

The former is called **Optical Character Recognition** and the latter is called **Intelligent Character Recognition**.

- Optical Character Recognition is a very well-studied problem (see: Google Library Project), and error rates are approaching 1 in several hundred.
- Intelligent character recognition is not very well studied
 - Almost no one has done, properly, handwriting to \LaTeX .
 - No one has done it with a Neural Network yet.

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Problem Definition

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Outlook

Transform handwritten equations into \LaTeX form using neural networks.

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Three Steps

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Outlook

There are three major subproblems inherent in transforming handwritten equations into \LaTeX .

- 1 Single-character recognition
- 2 Multiple character recognition
- 3 Positional recognition (i.e. fractions, exponents)

Single-Character Recognition

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Outlook

This can be broken down into several steps:

- 1 Find bounds of user-drawn letter
- 2 Downsample letter
- 3 Feed into Kohonen Neural Network

Finding Bounds

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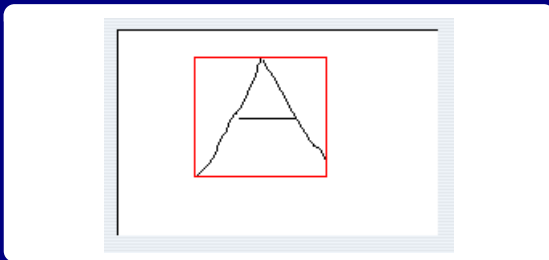
Single-Character
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Outlook

- A very easy task!
- Iterate through each direction to see if there is a pixel on that line, if not, keep going
- The final result looks like (graphically)



Downsampling

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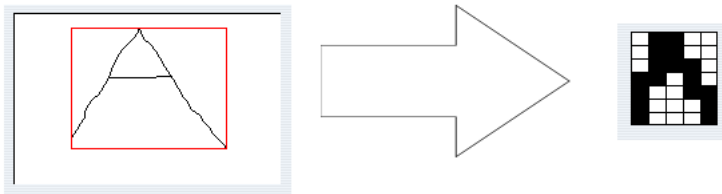
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Outlook

- We downsample the image to a 5×7 grid
- Graphically looks like:



Kohonen Neural Network

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Outlook

- Single-layer feed-forward network where output neurons are arranged in 2D grid.
- Each input is connected to all output neurons.
- With every neuron there is a weight vector with the same dimensionality as input vectors.

The NeuralOCR Application

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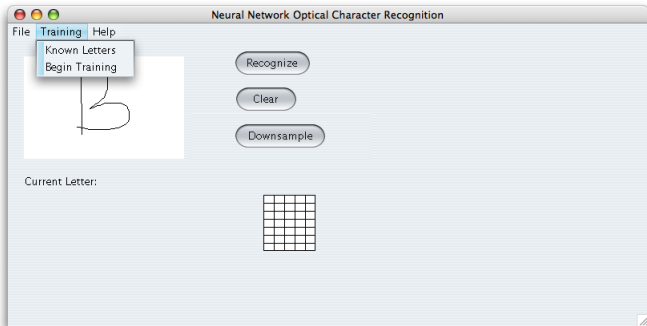
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Screenshot:



Recognizing Multiple Characters

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Outlook



- We can do better - multiple character recognition!
- Multiple bounding boxes - one for each letter
 - Each character gets recognized separately by the neural network
 - This should be a valid assumption for math - people don't write math in cursive.

Recognizing Math!!!

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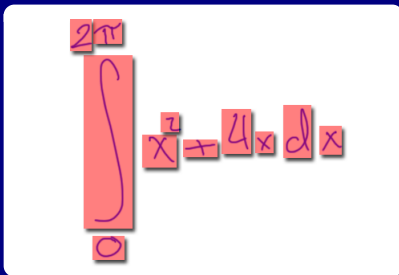
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Outlook



- We can do better still! - multiple character recognition!
- Multiple bounding boxes - one for each character
 - Store position data for each character after you recognize it
 - Use a second neural network to recognize position to determine the difference between:
 - Normal math
 - Subscript
 - Superscript
 - Fractions (more on this later)

Fractions are Hard

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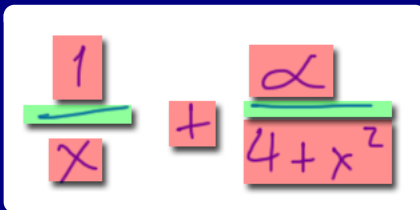
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- The hangup is recognizing fractions with this method

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Future work:

- Multiple-character recognition (not that hard!)
- Positional recognition

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All images from Wikipedia (thanks guys!)

Questions?

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Quotes (upon hearing research topic)

- *"I will personally pay you lots and lots of money if you get this working."* - Prof. Yong
- *"Dude ... why are you setting yourself up to fail like that?"* - Anonymous Former Neural Nets Student
- *"... hahahahahahaha"* - Anonymous Neural Nets Researcher

Questions?